



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of

Dean Amburn

Serial No. 09/500,624

Filed: 02/09/2000

For: METHOD AND APPARATUS FOR AUTOMATED TRADING OF  
EQUITY SECURITIES USING A REAL TIME DATA ANALYSIS


Attorney Docket No: AMB 0101 PA

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

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**SUPPLEMENTAL DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.56**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

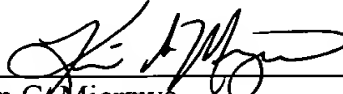
In compliance with 37 C.F.R. § 1.56, the art listed and identified on the attached Supplemental Form PTO-1449 is being submitted herewith for consideration by the Examiner. A copy of the listed references is included herewith.

It is Applicant's opinion that the claims presently on file patentably distinguish the present invention from each of these references. The above references are being cited only in the interests of candor and without any admission that they constitute statutory prior art or contain matter which anticipates the invention or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art.

The Commissioner is authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 50-0476

Respectfully submitted,

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Date: 1/16/01